

04-19-05

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Rev. 11/19/2001

Attorney Docket No. CNTW-023/01US

PATENT

Express Mail Label Number: EV 459983225 US Date of Deposit: April 18, 2005

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By: Sherry Duncan Bitler
Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of J. S. WOLF

Confirmation No.: 9526

Serial No.: 09/877,209

Group Art Unit: 2136

Filed: 06/08/2001

Examiner: P. PARTHASARATHY

For: Network Configuration Manager

Mail Box Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08 for the above-identified patent application.

- ☐ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☒ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☒ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ___ in the amount of \$___ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.
- ☒ Please charge \$180.00 to Deposit Account No. 50-1283 for the total fee. This paper is being submitted in duplicate.

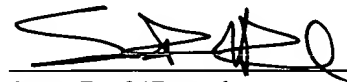
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01-15-1006 100-00-14

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

COOLEY GODWARD LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5601
Tel: (720) 566-4035
Fax: (720) 566-4099

Respectfully submitted,
COOLEY GODWARD LLP

By:



Sean R. O'Dowd
Reg. No. 53,403



Attorney Docket No. CNTW-023/01US

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,

Applicant(s) hereby submits the following information in conformance with 37 C.F.R.

§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document, with the exception of U.S. patents or U.S. patent application publications, cited in the attached Form PTO/SB/08A is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

04/20/2005 SFELEKE1 00000006 09877209

01 FC:1806

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- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

- ☒ a final action under 37 C.F.R. §1.113;
- ☐ a notice of allowance under 37 C.F.R. §1.311; or
- ☐ an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- ☒ Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- ☐ Statement as specified in 37 C.F.R. §1.97(e):
 - ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
 - ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

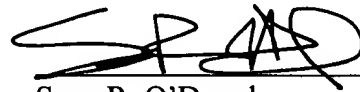
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: April 18, 2005

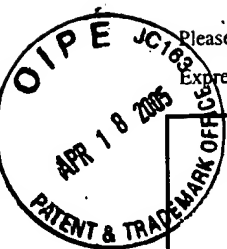
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Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (720) 566-4035
Fax: (720) 566-4099

Respectfully submitted,
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By:



Sean R. O'Dowd
Reg. No. 53,403

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PTO/SB/08A (08-00)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1 of 6

Complete if Known

Application Number	09/877,209
Filing Date	06/08/2001
First Named Inventor	WOLF
Group Art Unit	2136
Examiner Name	P. PARTHASARATHY
Attorney Docket Number	CNTW-023/01US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		4,991,089		Shorter, David U.	02/05/1991
		5,109,486		Seymour, Leslie G.	04/28/1992
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	09/877,209
		Filing Date	06/08/2001
		First Named Inventor	WOLF
		Group Art Unit	2136
		Examiner Name	P. PARTHASARATHY
Sheet	2 of 6	Attorney Docket Number	CNTW-023/01US

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Examiner Initials*	Cite No. ¹	U.S. Patent Document		Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)	
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Examiner Signature		Date Considered	
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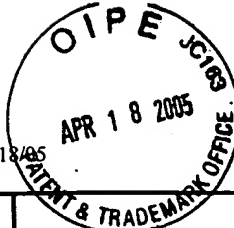
² See attached Kinds of U.S. Patent Documents.

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		Number	Kind Code ² (if known)		
		5,491,820 A		Belove et al.	02-1996
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		2003/0048287 A1		Little et al.	03-2003
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		Group Art Unit	2136
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		2002/0038320 A1	Brook, John Charles	03-2002
		2003/0037040	Beadles et al.	02-2003
		2003/0033589 A1	Reyna et al.	02-2003
		2002/0032769 A1	Barkai et al.	03-2002

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁵
		Office ²	Number ³	Kind Code ⁴ (if known)			
		EP	0 384 339 A2		Pitkin et al.	08/29/1990	
		EP	0 973 296 A2		Mandal et al.	01/19/2000	
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		WO	98/18235 A		MCI Communications Corp; Caterisano, Terry A.	04/30/1998	
		WO	97/49214		Pelavin	12/24/1997	

Examiner Signature	Date Considered
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¹ Unique citation designation number.

² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

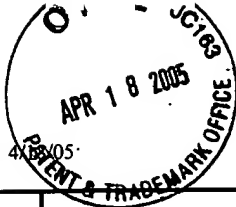
⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁵ Applicant is to place a check mark here if English language Translation is attached.

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		First Named Inventor	WOLF
		Group Art Unit	2136
		Examiner Name	P. PARTHASARATHY
Sheet	5 of 6	Attorney Docket Number	CNTW-023/01US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"FFST/2 Generic Alert Router for LAN and SNA Transports," IBM Technical Disclosure Bulletin, IBM Corp. New York, US, vol. 34, no. 11, 1 April 1992, pgs. 467-468, XP000303331, ISSN: 0018-8689	
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		ISM Customer - Aware™ Fault and Performance Management; CoManage Corporation; As of December 5, 2000 (2 pages)	
		ISM Customer - Aware™ Provisioning; CoManage Corporation; As of December 5, 2000 (2 pages)	
		ISM Customer - Aware™ Query; CoManage Corporation; As of December 5, 2000 (2 pages)	
		ISM Customer - Aware™ Service Profiling; CoManage Corporation; As of December 5, 2000 (2 pages)	
		Kennedy T W: "Network Operations & Management Tool Requirements for the 90s" Proceedings of the Network Operations and Management Symposium (NOMS). Memphis, Apr. 6-9, 1992, New York, IEEE, U.S. Vol. 1, 1992, pgs 122-132	
		Lee, W.C. et al: "Routing Subject to Quality of Service Constraints in Integrated Communication Networks" IEEE Network, IEEE Inc. New York, US, vol. 9, no. 4, 1 July 1995 (1995-07-01), pages 46-55, XP000526591, ISSN: 0890-8044 the whole document	
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		PCT/US 01/45668 – International Search Report dated 10/16/2002	
		PCT/US 01/45669 – International Search Report dated 10/16/2002	
		PCT/US 01/45670 – International Search Report dated 12/20/2002	
		PCT/US 01/45671 – International Search Report dated 10/16/2002	
		PCT/US 01/45671 – Written Opinion dated 12/13/2002	
		PCT/US 01/45672 – International Search Report dated 04/14/2003	
		Torrente, S, et al: "Implementation of the ANSI T1M1.5 GBM-T1.214 within an ODBMS framework" Networking: Foundation for the Future, San Francisco, Mar. 28 – April 1, 1993, Proceedings of the Annual Joint Conference of the Computer and Communications Societies (INFOCOM), Los Alamitos, IEE Comp. Soc. Press, US, vol. 2 CONF. 12, 28 March 1993, pgs. 875-884, XP010032227, ISBN: 0-8186-3580-0	
		Williams, R. "Web Based Enterprise Management W101" DMTF 1999 Annual Conference, 'Online! 15-16 June 1999, pgs 1-21	
		Wolfson, Ouri et al.: "Managing Communication Networks by Monitoring Databases", IEEE Transactions on Software Engineering, IEEE Inc. New York, US, vol. 17, no. 9 1 September 1991, pgs. 944-953	
		STRASSNER, JOHN: Technology Series – <i>Directory Enabled Networks</i> , 1999; MacMillan Technical Publishing USA	
		DECA, Rudy, CHERKAoui, Omar, and PUCHE, Daniel, <i>A Validation Solution for Network Configuration</i> , January 2002, pgs. 1-17	

Examiner Signature	Date Considered
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Sheet	6 of 6	Attorney Docket Number	CNTW-023/01US

OTHER – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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